

Beneficial Ownership Declaration



Entity Name: _____ Application/Policy No. _____

Is the Company listed on a stock exchange or a majority owned subsidiary of a company listed on a stock exchange? ☐ Yes ☐ No

Principal place of business (country) (if different from place of registration): _____

Details of beneficial ownership:

(A) Details of natural persons holding more than 10% (company, trust, partnership firm or society) or 15% (association of persons, body of individuals) of the shares / capital / contribution / profits / property (as applicable) or exercising ultimate control³ over the entity¹:

OR

I / We hereby declare that no natural person is holding more than 10% (company, trust, partnership firm or society) or 15% (association of persons, body of individuals) of the shares / capital / contribution / profits / property (as applicable) or exercising ultimate control over the entity or information about the ultimate beneficial ownership is not available with the entity. The details of the relevant natural person who holds the position of senior managing official is provided below.

Sr. no.	Full name	Date of birth	Nationality	City	State	Country	PAN	Designation

B) Mandatory if the entity is a Company. The details of the relevant persons holding senior management position (Managing Director, Chief Executive Officer, Executive Director and Chief Financial Officer or equivalent designations) are as under:

Sr. no.	Full name	Date of birth	Nationality	City	State	Country	PAN	Designation

Please tick if the below statements are applicable:

- ☐ Entity is a politically exposed person (PEP) or a relative or associate of a PEP²
- ☐ Beneficial owner is a PEP or a relative or associate of a PEP
- ☐ Authorised signatory is a PEP or a relative or associate of a PEP

Date: _____

Signature and seal of the entity
(To be signed by the Authorised Signatory)

Details of Authorised Signatory/(ies):

Name: _____

Date of Birth: _____ PAN: _____ Nationality: _____

Address: _____

Country of residence: _____

Name: _____

Date of Birth: _____ PAN: _____ Nationality: _____

Address: _____

Country of residence: _____

Notes:

1. In Table A, you are required to furnish details of all natural persons who exercise control over the entity, whether acting alone or together, or through one or more juridical persons. In case no such natural person is identifiable or information about the ultimate beneficial ownership is not available with the entity, you are required to furnish details of the senior managing official. Please note, you are required to submit any one "Officially Valid Document" of all persons listed in Table A. Table A is not mandatory if the entity is listed on a stock exchange or a majority owned subsidiary of a company listed on a stock exchange.
2. Politically Exposed Persons (PEPs) are individuals who have been entrusted with prominent public functions by a foreign country, including the heads of States or Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials.
3. Applicable to Companies and Partnership firms. Control for companies : "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements. Control for Partnership firms : "Control" shall include the right to control the management or policy decision;"

Disclaimer: As per Rule 9B of the Prevention of Money Laundering (Maintenance of Records) Rules, 2005, you are required to intimate us if there is any change in your KYC details (change in registered address, beneficial ownership, authorised signatory, etc.) within a period of 30 days from the date of the change. You can contact us by writing to lifeline@icicilife.com or visiting the nearest branch.